



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/077,493 Confirmation No.: 5275
Applicant : Zongqin Xia et al.
Filed : February 15, 2002
Art Unit : 1623
Examiner : Elli Peselev
Title : STEROIDAL SAPOGENINS AND THEIR DERIVATIVES FOR
TREATING ALZHEIMER'S DISEASE

Docket No.: : 380048-94 (HASEL-65749)
Customer No. : 24201

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to the duty of disclosure and 37 C.F.R. § 1.97(b), enclosed is Form PTO SB008A, listing art which may be material to patentability of the invention, together with copies of references listed therein. Applicant notes that the disclosed patent, U.S. Patent No. 6,593,301, is the now-issued U.S. National Stage version of International Application PCT/CN98/00214 (Publication No. WO 99/16786), which applicant previously disclosed in the Information Disclosure Statement dated November 5, 2003.

This paper should not be construed as a representation that a search was conducted or that the references listed are material to patentability.

Additionally, pursuant to Applicant's duty under the recent case of *Dayco Products, Inc. v. Total Containment Inc.*, 66 U.S.P.Q.2d 1801, 329 F.3d 1358 (Fed. Cir. 2003) Applicant brings to the Examiner's attention the status of the following cases which contain subject matter that could be considered similar to the subject of the present application:

Attorney Docket No.	Serial Number	STATUS
380048-77	09/362,328 filed 7/28/99	Granted 7/10/01 as U.S. Patent No. 6,258,386
380048-86	09/647,110 filed 1/11/01 - 371 of PCT/GB99/00951 filed 3/26/99	Abandoned - All claims rejected.
380048-87	09/647,128 filed 1/11/01 - 371 of PCT/GB99/00960 filed 3/26/99	Abandoned – All claims rejected.
380048 - 92	09/866,234 filed 5/25/01 Div. of 09/362,328 filed 7/28/99 USP 6,258,386	Abandoned – All claims rejected.
380048-94 (FPLU Ref. HASEL-65749)	10/077,493 filed 02/15/2002, which is a Cont. of 09/647,110 filed 01/11/01 Abandoned	Pending – Various claims allowable
380048-95 (FPLU Ref. HASEL-95933)	10/228,153 filed 8/26/02 Cont. of 09/866,234 filed 5/25/01 which is Div. of 09/362,328 filed 7/28/99 USP 6,258,386	Abandoned – all claims rejected.
380048-96 (FPLU Ref. HASEL-65934)	10/336,176 filed 1/3/03 Cont. of 09/647,128 filed 1/11/01 Abandoned	Pending – Awaiting 1 st Office Action.
HASEL-65949	Ser. No. unassigned filed 10/20/03 Cont. of 10/228,153 filed 8/26/02 which is a Cont. of 09/866,234 filed 5/25/01 which is a Div. of 09/362,328 filed 7/28/99 USP 6,258,386	Pending – Awaiting 1 st Office Action.

The above cases were previously disclosed in a prior IDS, but are being repeated here for the sake of completeness.

Additionally, applicant discloses the following additional cases which are being handled by a different law firm, but which contain subject matter which could be considered similar to the subject matter of the present application.

Attorney Haseltine Lake Reference	Serial Number	STATUS
P73395USPC	10/109,095 371 of WO-A-01/23406	Pending
P73396USPC	10/109,204 371 of WO-A-01/23407	Pending
P73397USPC	10/108,737 371 of WO-A-01/23408	Pending
P74076USPC	10/189,024 371 of WO-A-01/49703	Pending
P78629USPC	(Not yet known) 371 of WO-A-02/079221	Pending

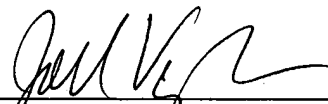
No fees are seen as being necessary in connection with this paper. However, if any fees are due the Commissioner is authorized to charge such fees to Deposit Account No. 06-2425.

Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP

DATED: January 26, 2004

By:



Joe D. Voelzke
Registration No. 37,957

JDV:rfl

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

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of

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Complete if Known

Application Number

10/077,493

Filing Date

February 15, 2002

First Named Inventor

Zongqin XIA

Art Unit

1623

Examiner Name

Elli Peselev

Attorney Docket Number

380048-94 (HASEL-65749)

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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